

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing  
OR  
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	205502-9004
First Named Inventor	ELLIS, David Dunham
COMPLETE IF KNOWN	
Application Number	PCT/CA00/00074
Filing Date	31 JAN 2000
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**MODIFICATION OF LIGNIN COMPOSITION OF GYMNOSPERMS**

(Title of the invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 31 JAN 2000 as United States Application Number or PCT International

Application Number PCT/CA00/00074 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/CA00/00074 60 / 118,124	CANADA US	01/31/2000 01 FEB 1999	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Please type a plus sign (+) inside this box → +

PTO/SB/02A (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page 3 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
<i>300</i> <u>Margarita</u>		<u>GILBERT</u>	
Inventor's Signature <u>M. Gilbert</u>		Date <u>Nov. 27, 2001</u>	
Residence: City <u>Maple Ridge</u>	State <u>B.C.</u>	Country <u>Canada</u>	Citizenship
Mailing Address <u>#38-23085 118 Avenue</u>			
Mailing Address			
City <u>Maple Ridge</u>	State <u>B.C.</u>	ZIP <u>V2X 3J7</u>	Country <u>CANADA</u>
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☐ Customer Number or Bar Code Label ☐ OR ☒ Correspondence address below

Name **MICHAEL BEST & FRIEDRICH LLC**

Address **401 N. Michigan Avenue, Suite 1700**

City **Chicago**

State **Illinois**

ZIP **60611**

Country **U.S.A.**

Telephone **(312)661-2100**

Fax **(312)661-0029**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name **David Dunham**  
(first and middle [if any])

Family Name **ELLIS**  
or Surname

Inventor's  
Signature

Date

Residence: City **Tsawwassen**

State **B.C.**

Country **Canada**

Citizenship

Mailing Address **4887 5th Avenue**

City **Tsawwassen**

State **B.C.**

ZIP **V4M 1J5**

Country **Canada**

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name **Clinton Charles Spencer**  
(first and middle [if any])

Family Name **CHAPPLE**  
or Surname

Inventor's  
Signature

Date **Feb. 4, 2002**

Residence: City **West Lafayette**

State **Indiana**

Country **USA**

Citizenship

Mailing Address **2210 Robinhood Lane**

City **West Lafayette**

State **Indiana**

ZIP **47906-5029**

Country **USA**

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/>		Customer Number or Bar Code Label	OR <input checked="" type="checkbox"/> Correspondence address below	
Name <u>MICHAEL BEST &amp; FRIEDRICH LLC</u>				
Address <u>401 N. Michigan Avenue, Suite 1700</u>				
City <u>Chicago</u>		State <u>Illinois</u>	ZIP <u>60611</u>	
Country <u>U.S.A.</u>	Telephone <u>(312) 661-2100</u>		Fax <u>(312) 661-0029</u>	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.				
NAME OF SOLE OR FIRST INVENTOR :		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name <u>David Dunham</u> (first and middle [if any])		Family Name <u>ELLIS</u> or Surname		
Inventor's Signature <u>[Signature]</u>		Date <u>Nov 27, 2001</u>		
Residence: City <u>Tsawwassen</u>		State <u>B.C.</u>	Country <u>Canada</u>	Citizenship
Mailing Address <u>4887 5th Avenue</u>				
City <u>Tsawwassen</u>		State <u>B.C.</u>	ZIP <u>V4M 1J5</u>	Country <u>Canada</u>
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name <u>Clinton Charles Spencer</u> (first and middle [if any])		Family Name <u>CHAPPLE</u> or Surname		
Inventor's Signature <u>[Signature]</u>		Date		
Residence: City <u>West Lafayette</u>		State <u>Indiana</u>	Country <u>USA</u>	Citizenship
Mailing Address <u>2210 Robinhoold Lane</u>				
City <u>West Lafayette</u>		State <u>Indiana</u>	ZIP <u>47906-5029</u>	Country <u>USA</u>
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.				